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Date of Deposit: October 15, 2002

Attorney Docket No. 23135-510 CON (Formerly 010830-117)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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OCT 21 2002

APPLICANTS : Masternak, *et al.*
ASSIGNEE : NovImmune S.A.
APPLICATION NO. : 09/840,243
FILING DATE : April 24, 2001
FOR : NEW TRANSCRIPTION FACTOR OF MHC CLASS II GENES, SUBSTANCES
CAPABLE OF INHIBITING THIS NEW TRANSCRIPTION FACTOR AND
MEDICAL USES OF THESE SUBSTANCES

EXAMINER : Not Yet Assigned
ART UNIT : 1623
TECH CENTER 1600/2900

Commissioner for Patents
Washington, D.C. 20231

REVOCATION BY ASSIGNEE AND NEW POWER OF ATTORNEY

NovImmune S.A., owner of the above-identified United States Patent Application No. 09/840,243, filed April 24, 2001, assignment recorded on October 4, 2001, at Reel 012231, Frame 0495 (a copy of the assignment is attached hereto), hereby revokes any and all former powers of attorney and appoints:

Attorney or Agent	Registration No.	Attorney or Agent	Registration No.
Kevin Ainsworth	39,586	Christina Karnakis	45,899
Ingrid Beattie	42,306	Kristin E. Konzak	44,848
William Belanger	40,509	Cynthia Kozakiewicz	42,764
Naomi Biswas	38,384	Barry Marenberg	40,715
Bradford C. Blaise	47,429	A. Jason Mirabito	28,161
Sean M. Coughlin	48,593	Michel Morency	50,183
David F. Crosby	36,400	Carol H. Peters	45,010
Christopher J. Cuneo	42,450	Michael Renaud	44,299
Brett N. Dorny	35,860	Robert J. Sayre	42,124
Ivor R. Elrifi	39,529	C. Eric Schulman	43,350
Heidi A. Erlacher	45,409	Gregory J. Sieczkiewicz	48,223
James G. Gatto	32,694	Thomas M. Sullivan	39,392
Richard Gervase	46,725	Janine Susan	46,119
Matthew J. Golden	35,161	Nicholas P. Triano, III	36,397
Brian P. Hopkins	42,669	Howard Susser	33,556
Shane Hunter	41,858		
David E. Johnson	41,874		

APPLICATION NO.

09/840,243

APPLICANTS:

Masternak, *et al.*

all of MINTZ, LEVIN, COHN, FERRIS, GLOVSKY AND POPEO PC, One Financial Center, Boston, Massachusetts 02111, as Applicant's attorneys with full power of substitution and revocation to take any and all action necessary with regard to the above-identified patent application.

SEND CORRESPONDENCE TO:

Ivor R. Elrifi, Esq.

Mintz Levin Cohn Ferris Glovsky & Popeo

One Financial Center

Boston, MA 02111

Customer Number: 30623

DIRECT TELEPHONE CALLS TO:

Ivor R. Elrifi, Esq.

(617) 542-6000

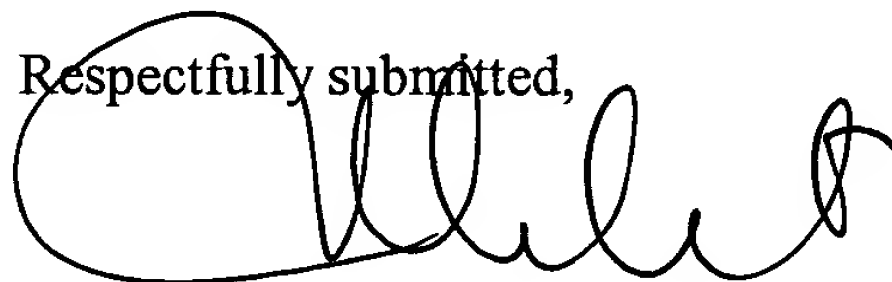
NOVIMMUNE S.A. certifies under 37 C.F.R. § 3.73(b) that it is the Assignee of the entire right, title and interest in the patent application identified above by virtue of assignments of the patent application identified above.

I, the undersigned, am empowered to act on behalf of the Assignee. Acting on behalf of the Assignee, I have reviewed all the documents in the chain of title of the patent application identified above and, to the best of my knowledge and belief, title is in the Assignee identified above.

I, the undersigned, hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the patent.

Please charge any fee or any fee deficiency occasioned by this document to Deposit Account No. 50-0311, Attorney Reference No. 23135-510 CON (Customer Number: 30623).

Respectfully submitted,



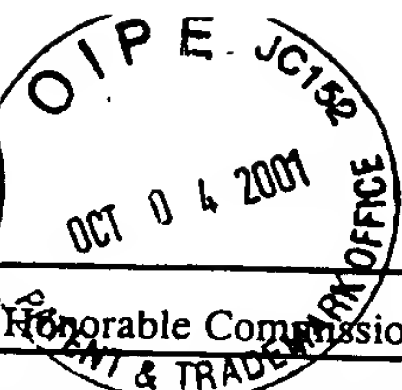
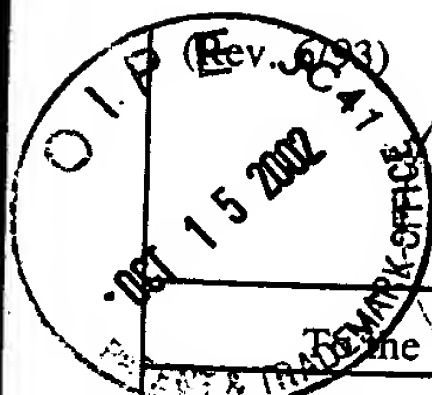
NAME: Jack Barbut

TITLE: Chief Executive Officer

COMPANY: NovImmune S.A.

Date:

October 21st, 2002



R



EET

Patent and Trademark Office

101871599

Attorney's Docket No. 010830-117

The Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Krzysztof MASTERNAK, Walter REITH and Bernard MACH
18-431Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: September 24, 2001

2. Name and address of receiving party(ies):

Name: NOVIMMUNE S.A.Address: 64, Avenue de la Roseaie, CH-1211Geneve 4, SwitzerlandAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/840,243

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: R. Danny HuntingtonAddress: BURNS, DOANE, SWECKER & MATHIS, L.L.P.P.O. Box 1404Alexandria, Virginia 22313-14046. Total number of applications and patents involved: 17. Total fee (37 CFR § 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

02-4800

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Susan M. Dadio, Reg. No. 40,373
Name of Person Signing*Susan M. Dadio*
SignatureOctober 4, 2001
DateTotal number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

10/11/2001 DBYRNE 00000077 09840243

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ASSIGNMENT

(JOINT)

THIS ASSIGNMENT, by KRZYSZTOF MASTERNAK, WALTER REITH, and Bernard MACH, residing at 12 avenue de la Croisette, CH-1205 Geneva, Switzerland, 8 rue Roi-Victor-Amé, CH-1227 Carouge, Switzerland, and 45 Route de Pregny, CH-1292 Pregny-Chambas, Switzerland (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in NEW TRANSCRIPTION FACTOR OF MHC CLASS II GENES, SUBSTANCES CAPABLE OF INHIBITING THIS NEW TRANSCRIPTION FACTOR AND MEDICAL USES OF THESE SUBSTANCES set forth in an application for Letters Patent of the United States,

- (1) ☐ which is a provisional application
 - (a) ☐ to be filed herewith; or
 - (b) ☐ bearing Application No. __, and filed on __; or
- (2) ☒ which is a non-provisional application
 - (a) ☐ having an oath or declaration executed on even date herewith prior to filing of application;
 - (b) ☐ bearing Application No. 09/840,243, and filed on April 23, 2001; or
 - (c) ☐ to be filed; and

WHEREAS, NOVIMMUNE S.A., a corporation duly organized under and pursuant to the laws of SWITZERLAND and having its principal place of business at 54, AVENUE DE LA ROSEPALE, CH-1211 GENEVE 4, SWITZERLAND (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the



Application No. 09/840,243
Attorney's Docket No. 010830-117

Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of BURNS, DOANE, SWECKER & MATHIS, L.L.P. of Alexandria, Virginia to insert in the spaces provided above the filing date, application number, and attorney docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date <u>24.09.2001</u>	Signature of Assignor	<u></u> Krzysztof MASTERNAK
Date <u>24.09.2001</u>	Signature of Assignor	<u></u> Walter REITH
Date _____	Signature of Assignor	<u>X</u> Bernard MACH

Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including Interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of BURNS, DOANE, SWECKER & MATHIS, L.L.P. of Alexandria, Virginia to insert in the spaces provided above the filing date, application number, and attorney docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

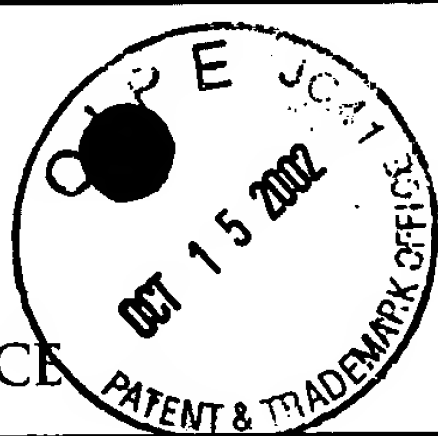
Date _____ Signature of Assignor Krzysztof MASTERNAK

Date _____ Signature of Assignor Walter REITH

Date Sept. 24. 51 Signature of Assignor Bernard MACH



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PATENT AND
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DECEMBER 14, 2001

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BURNS, DOANE, SWECKER & MATHIS, L.L.P.
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Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/04/2001

REEL/FRAME: 012231/0495

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MASTERNAK, KRZYSZTOF

DOC DATE: 09/24/2001

ASSIGNOR:

REITH, WALTER

DOC DATE: 09/24/2001

ASSIGNOR:

MACH, BERNARD

DOC DATE: 09/24/2001

ASSIGNEE:

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64, AVENUE DE LA ROSERAIE, CH-1211

GENEVE 4, SWITZERLAND

SERIAL NUMBER: 09840243

FILING DATE: 04/24/2001

PATENT NUMBER:

ISSUE DATE:

010830-117
GUTMANN PLASSER
RDH SID

BURNS, DOANE, SWECKER & MATHIS, L.L.P. RECEIVED	
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012231/0495 PAGE 2

MARCUS KIRK, EXAMINER
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